THE ORIGINS OF THE
STANLEY HOFFMANN WE KNEW
Some Comparisons on his Vichy Years
with My Family Story

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ABSTRACT
Stanley Hoffmann’s years in France before, during, and after Vichy marked him
both intellectually and psychologically. Many of his great works draw on his
reflections on how he saw French people responding to this situation. By coinci-
dence, my family was living in France from 1933 to 1940 as refugees from Nazi
Berlin, where they had gone in 1923 as Menshevik refugees from the Bolsheviks.
This essay explores Hoffmann’s story as a way of framing my own family history,
and it reflects on the way those experiences influence our lives and ideas.
Hoffmann went on to great prominence writing on international relations and
the politics of France. Under his influence, I went on to help erode the acade-
mic boundary between domestic affairs and international relations.

KEYWORDS
education, family networks, flight from Paris, personal chance, role of Vichy,

When I first met Stanley Hoffmann in the fall of 1963, he did not speak about
his experiences in wartime France.* It was not just that I was a first year grad-
uate student and he already a rising star of the professorial firmament, people
did not share such personal experiences then. We were nearer in time to World
War II, so that discourse about its traumas had not yet emerged. Only late in
his career did Hoffmann write in a personal way about his years under Vichy.
In doing so, he reflected a cultural change toward the expression of personal
narrative. It became publicly more acceptable and indeed something of an
imperative. Perhaps it expressed even an internal need as people aged.

At the same time, there was more discussion about the Holocaust in general
and about the French war years in particular. Hoffmann had long been inter-
ested in Vichy, taught courses about it at Harvard, some co-taught with Patrice
Higonnet, and spoke at times to colleagues that he planned to write a major book about Vichy. He never published such a volume, but there are a number of sources from which we can construct a good idea of his views and his experiences. These are Hoffmann’s scholarly publications, such as the renowned “Aspects du régime de Vichy,”1 “Self -Ensnared: Collaboration with Nazi Germany,”2 and “In the Looking Glass: Sorrow and Pity?”3 reprinted in a substantial collection of essays4 that included notable pieces on Charles de Gaulle; contributions to important volumes such as La France des années noires, edited by authorities on Vichy Jean-Pierre Azéma and François Bérarida5; essays in journals such as Esprit6; reviews of other books like the important work by Robert Paxton,7 which helped open the lid on French compliance with the Occupiers and Nazi terrorism; class notes from the course he taught in the 1980s “France’s Decline and Renovation, 1934–1946”8; essays about his personal experiences, published in a Festschrift edited by Linda Miller and Joseph Smith; and finally, reviews of movies that were important vehicles of his analysis.9

Cinema had a strong impact on public discourse and on Hoffmann, who loved movies, wrote reviews, and assigned them in his classes. In the course on Vichy, he assigned Renoir’s La Marseillaise, La Grande Illusion, and Les Règles du jeu; Marcel Ophuls’ Le Chagrin et la Pitié (the very big 1972 movie Hoffmann discusses at length in the article noted above); Clouzot’s films; Cayatte’s Le Passage du Rhin; Costa Gravas’ Section spéciale and Malle’s Lacombe Lucien. Not on the 1986 list was Louis Malle’s Au revoir les enfants (1987), a story achingly close to Hoffmann’s experience of witnessing a friend taken away in Nice whom he was never to see again.

The Klaus Barbie extradition from Bolivia in 1983 and trial in Lyon in 1987 and other legal cases of Rene Bousquet, Jean Leguay, Maurice Papon, and Paul Touvier brought further awareness of the Vichy years. Serge and Beate Klarsfeld found and published train lists from Drancy to the killing camps, showing the complicity of the French state. The movie Schindler’s List (1993) and the creation of the US Holocaust Memorial Museum in Washington contributed to even more public discussion. Ethnic cleansing in Yugoslavia, South East Asia, and Rwanda broadened the consideration of genocide. Hoffmann taught courses and wrote on morality in international relations. He encouraged and advised Samantha Power in writing the much-discussed history of genocide, A Problem from Hell.10

By the 1990s and the last years of Hoffmann’s life, the Vichy period had become more central to public discourse, while the general culture of silence around private history had changed in universities. People began to talk, and Hoffmann joined them. His stories resonated with my family history, though I was not fully conscious of the overlap when I first met Hoffmann. I arrived at Harvard as a graduate student in the fall of 1963 and enrolled in his French Politics class. Hoffmann had survived the escape from Paris in June 1940, life under Vichy, and then under direct German Occupation. My parents had initially fled from Russia to Berlin in 1923, then to Paris in March 1933, and to
the United States in October 1940. They left Paris nearly the same day as the Hoffmanns (they on June 12 by car, my parents by train). A great-uncle, my grandmother’s brother, went to Nice, living there about the same time the Hoffmanns did. When the SS arrived in Nice in 1943, the Hoffmanns escaped into the French countryside; my great-uncle and his wife did not, and like Klarsfeld’s father, were caught and sent to Auschwitz. Over time, I have learned more about my family story, and I have learned more about Hoffmann’s. In this essay, I use this overlap to explore issues of choice and support in the problem of survival, and how these might have influenced Hoffmann’s ideas and “mental map” more generally.

For most of my life, I have been aware of my family narratives of flight and migration. As a child I used to hear, and ask to be told, the stories as my parents talked to their fellow refugees and relatives. As an adult I began to gather documents, not with the purpose of doing history or social science, but out of curiosity about evidence that might document the experience my family had with some of the monumental events of twentieth-century history. My encounter with Hoffmann in 1963, and the emerging Center for European Studies (formed in 1969) as I moved from graduate student to Assistant Professor that same year, certainly influenced the way I experienced the construction, and the understanding, of that trajectory. It is in evocation of Hoffmann’s memory that I try to recount it here as a kind of interactive narrative.

The Hoffmann Trajectory

When I first heard details of Hoffmann’s story, I was intrigued by several parallels in his mother’s decision making options and that of my family: most notably, the departure from Paris in June 1940, and second, leaving Nice for the countryside when the Germans and SS arrived in fall 1943. Hoffmann’s most explicit description of these events lies in the chapters he wrote for the volume edited by Linda Miller and Michael J. Smith. Hoffmann had had an appendicitis operation 10 May 1940, the day the German assault in the West began. He was slow to recover, so his mother delayed their exit, finally bundling him into a car with friends. So great was the famous crush of refugees during the exodus from Paris, it took them three days to reach Tours. Hoffmann evokes a movie to describe the chaos: René Clément’s Forbidden Games. Mrs. Hoffmann knew the danger: after the Anschluss her brothers had fled Vienna for Paris, then to England, the US or Australia, though one invalid brother stayed in Paris, where Vichy police later rounded him up for deportation to his death in 1943.

The Hoffmanns went to Bordeaux, and then left to escape the Occupied zone. They found their way to Lamalou-les-Bains in Languedoc, a small spa town, where they had visited friends the previous year. After the summer of 1940, they went on to Nice so Stanley could be in school, leaving everything
in Paris except Mrs. Hoffmann’s jewels, which were soon sold to fund their living costs. They lived poorly as refugees. Nice was ruled by Vichy from 1940 to 1942 and by Italy from 1942 to 1943, during which time the city was comparatively safe for the many refugees living there, including, as I explore below, for my great-uncle and aunt.

Nonetheless, Mme Hoffmann, Stanley discovered later, felt sufficiently endangered to have obtained entrance visas to the US, despite Stanley’s reluctance to leave. How did she get them, and how did her brothers get their visas in 1940, as it was notoriously difficult to get such visas in that period? We don’t know. Hoffmann writes “then came Pearl Harbor and we could not leave,” though the causality is not quite clear. When the Allies invaded North Africa in the fall of 1942 Germany took over direct rule of Vichy, and Italy occupied Nice along with most of Provence and Corsica. Refugees remained safe under the Italians because Italy resisted German pressure to “surrender on demand” the people they wanted, including Jews.

Then rapid change took place. Mussolini was overthrown after the Allied victory in Sicily in the summer of 1943, the new Italian government switched sides to join the Allies, and Germany invaded and seized control of Italy to prevent this. This swift chain of events brought the SS directly to Nice. Roundups of Jews began immediately. Hoffmann watched from the balcony of their building his close friend seized coming home, the boy’s mother rushing downstairs to save him, only to be whisked away herself, both never to be heard of again. Despite the growing risk, the Hoffmanns stayed three more months, as Stanley did not wish to leave his lycée. Finally, “my nerves caved in.” The writing implies Hoffman influenced decisions, despite his youth; his family consulted each other, on when to stay, when to leave. Hoffmann’s history teacher forged French papers, in case of a police demand in the train, and they left at night, back to Lamalou.

The Hoffmanns returned to find the town full of German soldiers. The villagers had sons in the maquis, where they had fled to escape expulsion to Germany for forced labor. Relations were tense, but there seemed to be modus vivendi, a kind of tacit stand-down between the two sides and not much violence. Those who knew or guessed the family’s vulnerability protected the Hoffmanns. Statistically, they ranked fortunate as among the foreign born, who had the least protection from roundups, though even the native born became vulnerable as the SS bore down. In less than a year came D-Day and soon enough liberation. The Hoffmanns returned to Paris, to Neuilly, where Hoffmann reentered the lycée, passed his exams, and enrolled at Sciences Po. His lack of French nationality blocked him from the concours which led to the agrégation and access to the École normale or the grands corps. At age eighteen he became eligible for and attained French citizenship, no longer stateless, as he had been after the Nazis took over Austria. At Sciences Po his brilliance, evident in high school, continued, and eventually brought him to Harvard, where he became the famous intellectual we knew.
In the Hoffmann story, the key decisions were initially his mother's: leaving Vienna when Stanley was quite young because she preferred to live in France; leaving Paris in 1940 as the German army approached; not emigrating to the US in the early 1940s, but critically leaving Nice in 1943 after the Nazis arrived. They were fortunate not to have been rounded up in the few months before they left for Lamalou; Stanley seems to have played a role in delaying the departure, but then agreed to leave and worked with the lycée teacher to get forged papers. And they were lucky to have contacts in Lamalou who protected them.

I knew some elements of the Hoffmann story, but did not parse carefully the dates and the decision points until I began to work systematically on the details of my own family story. Comparing the two helps understand the decision points for each.

**The Garvy-Gourevitch Trajectory**

Whereas the Hoffmann saga starts in Austria, my family's begins in Imperial Russia, where all four of my grandparents were born in the last two decades of the nineteenth century. All four grandparents were Mensheviks, who opposed the Bolsheviks in the famous 1903 split of the Russian Social Democratic Workers’ Party. When the Bolsheviks imposed a dictatorship after the October coup of 1917, they gave some of the Mensheviks a choice: leave the country or face imprisonment, under house arrest away from major population centers, or more severely in Siberian labor camps. Many of the leading Mensheviks left for Berlin in 1920 to 1923, including Iulius Martov, Fedor Dan, David Dallin, and Rafael Abramovich. In January of 1923, my maternal grandfather, Peter Garvy-Bronstein, was brought back by the KGB from prison in the Urals and told to leave or go back to prison. He left with his wife, Sophie Fichman Bronstein–Garvy, also a Menshevik activist, and my eight-year-old mother Sylvia and her eleven-year-old brother George. My grandmother Sophie's brother, Boris Fichman, joined them in Berlin soon after.

At the same time, my paternal grandfather, Boris Ber-Gourevitch, and his wife, Lydia, stayed in Russia. Documents show that he requested and received permission to leave on 18 November 1922, but later denied when he moved to act on this on 16 May 1923. What happened between those two dates for the Soviets to revoke the original authorization and what caused Boris to delay until it was too late? According to the family story passed to me, Boris was on the Menshevik left and thought he could cooperate somehow with the Bolsheviks to bring about constructive change. Or did the Bolsheviks want to control him in the USSR? Or did the family not know about his effort to leave? In any event, he lived under house arrest in various provincial cities, in increasingly remote places. By the late 1930s he was in prison. In 1939, he was killed—although the records say he died “under questioning.” In 1931, at age
15, my father could leave on his own to live with his paternal aunt and uncle in Berlin. He was thus about the same age as Hoffmann when he and his mother had to decide when to leave Nice. My grandfather expressed concern about my father’s opinion concerning whether to stay or go, knowing they would likely never see each other again. The issue expressed in the letters turned on education, as my father Shura, like Hoffmann, showed signs of being bright. Unlike Hoffmann, he had few educational opportunities in the places of his father’s exile in Soviet Russia, such as Siberia.

My grandmother, with whom my dad was quite close, was sent to Siberia in 1937 and survived. She spent twenty-five years there before her release on Stalin’s death. Freed from police supervision of political prisoners upon “rehabilitation,” in 1962 she tracked my father down in Syracuse, New York via the Red Cross, who had found his name listed as one of the developers of Tetracyclin and synthetic penicillin in *Who’s Who of Science*. We met her and some other surviving relatives in Moscow in the 1960s.

After leaving Russia in 1923, the Bronstein-Garvys settled in Berlin, which attracted Mensheviks because Germany was home of the largest, most established Social Democratic Party in Europe. Grandpa Peter Garvy scraped out a meager living as a writer for the trade union movement newspaper, *Forwards*. Garvy had been a prominent leader in Russia, known for trade union work and political activism in the early Revolution days. The Garvys were densely networked with the Russian Socialist emigrés living in Berlin and with German Social Democrats. Family photographs show the family with Karl and Luise Kautsky, another Socialist theorist Pavel Axelrod, and Rafael Abramovich-Rein, who was to play an important role in helping European labor leaders to escape.

The children of these Mensheviks formed a tight network among themselves. They attended similar schools, went to summer camps for Socialist youth, and joined the Socialist youth wing of the German Social Democratic party. Among their friends were Henry Ehrmann, later a noted political scientist in the US and an expert on France; the economist and social theorist Albert Hirschman; and Willi Brandt, later Chancellor of Germany. They did not report feeling a lot of anti-Semitism from “ordinary” Germans. They did recognize the great danger from Nazi gangs, as older members of the group trained to fight them in the streets. As refugees of the Soviet dictatorship, they were also quite strongly anti-Communist—thus anti-fascist and anti-Bolshevik both.

When the Nazis took power in late January 1933, the Menshevik refugees felt the threat immediately. The regime pounced quickly on its political enemies, beat them up, threw them in jail, killed some, and hauled many off to concentration campus such as Dachau, created for Nazi political enemies before used against Jews. While many if not most of the Mensheviks in Berlin were Jewish, they felt attacked not as Jews but as Socialists, trade unionists, and defenders of the Weimar Republic. The primary experiences of their network confirmed the menace. They spread news quickly among themselves as the regime made its assault on the Left specifically and the political opposition generally.
Legend has it in my family that shortly after the Reichstag Fire in late February of 1933, the phone rang in my grandparents’ apartment. My grandmother answered to hear “Is Herr Doctor Garvy there? This is the Gestapo calling.” She lied to reply in the negative and a few hours later grandfather Peter and uncle George were on a train headed to Paris. My mother was sick and came later with my grandmother. Great Uncle Boris followed sometime thereafter with his “abitur” (gymnasium graduation degree) and left for Paris in 1934.

The Mensheviks worked with the Socialist network to rebuild life in Paris, drawing on the ample contacts developed from the many international meetings they had attended the preceding decade. The young people entered university, and worked to support their parents. My mother got a scholarship to the Collège Sévigné and recalled having had Simone de Beauvoir as a philosophy teacher. After finishing university, my father started a Ph.D. in chemistry at the Curie Institute. My mother helped support her family by tutoring; one source wrote she worked “like a bull.” My parents married in the summer of 1938 in Paris.

Out of the political turmoil of the 1930s, two particularly dramatic incidents stand out for the way they enveloped my family in important events, which I studied with Stanley Hoffmann—the Popular Front and the Spanish Civil War. The Communists had been hostile to the Socialists in Weimar, calling them “social fascists” and refusing to cooperate in stopping the Nazi tide. In 1933, after seeing German militarism grow and the Nazis destroy both the Communist and Social Democratic Parties, Stalin decided democracy was worth preserving. He allowed the Communist parties to cooperate with the “bourgeois” parties (in France, the Parti radical and the SFIO [Socialists]) in Popular Front coalitions. For the Mensheviks, this posed a challenge: they had opposed cooperation with Communist parties in the West until Moscow freed the many Mensheviks in prison or house arrest in the USSR. As the SFIO gathered for their annual Congress in the summer of 1934, the Mensheviks had to decide whether to continue this opposition in the face of considerable pressure from their French Socialist colleagues who wanted a Popular Front.

Suddenly, a message known as the Kazan Telegram arrived from the USSR: “We hail with joy the United Front. Long live the mutual understanding between Socialists and Communists throughout the world! Long live unity in action and fighting! Long live socialism!” It was signed by Boris Ber-Gourevitch (my paternal grandfather) and S.O. Ezhov (Tzerdbaum) and K.I. Zakharova, the brother and sister-in-law of Julius Martov, the most famous Menshevik leader, who died young in 1923, just before the death of Lenin, with whom he had an important relationship. All three signatories of the telegram were on the left of the Menshevik movement, all three with “impeccable credentials” among the Mensheviks in exile.

The Soviets had picked my grandfather and Martov’s relatives because their prominence gave the telegram credence among the exiles. Grandpa Boris
had been “a longstanding member of the Menshevik Central Committee.”\(^{21}\) This helped me understand the story my father told about my grandfather’s arrival in Berlin late in 1931, when senior Mensheviks surrounded and quizzed him for a long time (“debriefed” we might call it) about all their friends trapped in the USSR. Politically, the telegram sent a signal from Stalin that required analysis by the exiles. Abramovich called this telegram “the most significant event in party life of the past several years.”\(^{22}\) In the end, they were all disappointed that Stalin’s regime did not soften at all internally.

A second important family story concerns the Spanish Civil War, which exploded in 1936. This event deepened tensions within the Popular Front coalition. Should France and Britain help the Loyalist side to defend the Spanish Republic, when it became clear Hitler and Mussolini were helping Franco? People went to Spain to fight with the Loyalists. Terrible things then happened in Spain, as George Orwell described vividly in *Homage to Catalonia*. One incident lived in family memory: the disappearance in Spain of Marc Rein, the older son of Rafael Abramovich, one of the most important of the Menshevik leaders. Having fallen in love with Ursula Hirschman, Albert’s older sister, Rein was disappointed when the relationship crumbled. Soon after he left Paris to fight on the Loyalist side. In Spain, he disappeared, never to be heard from again. Was he killed by Franco’s side? Or, was he seized by the Communists, as part of the ferocious struggle for dominance on the Left or as vengeance against Stalin’s enemies, in this case Rein’s father, Abramovich? The Paris Mensheviks believed the latter. Rein was likely killed there in Spain or possibly in the Soviet Union. In addition to purges at home, Stalin had undertaken attacks on dissidents abroad. Trotsky’s murder in Mexico City in 1940 is the most famous case, but many such assassinations had led up to that one.\(^{23}\) The Menshevik group felt the danger. Abramovich traveled often to the US, made contact with US labor leaders, and warned them about the Bolsheviks as well as the fascists. These connections proved important when the crisis of 1940 came. In the meantime, in Paris, the disappearance of Marc Rein had shaken the Menshevik community considerably and was not forgotten. It was one of the stories I heard growing up. I was quite touched when I met Lisa Hirschman, younger daughter of Albert, to discover that she, too, knew it well. It was one of many bonds between me and the woman who became my first wife.

My parents, having no illusions about Hitler, recognized the appeasement at Munich in 1938 as a catastrophe. But they, and virtually everyone else in France, did not expect the country’s astonishingly rapid fall in May–June 1940.\(^{24}\) Their fate would henceforth depend on their own decisions, the support and solidarity of others, and chance. As the German armies approached, the family moved to escape. French rules required exit visas to leave the country. People lined up to get them. Then, Juliette Blanc, the Frenchwoman Uncle George would soon marry, called the family from the press office where she worked to say that the news broadcasts were misleading: the German army was quite close, only a day or two away, not four or five as was being broad-
cast. The family abandoned the exit visa line and went to their homes to prepare to leave.

The next day they went to the Gare de Lyon to take the train south. They got the last train out, they always told me. When I saw the movie *Casablanca* in January 1964 during the traditional Bogart Festival at the Brattle Theater in Harvard Square, I was enrolled in Hoffmann’s course *French Politics and Society* and noted the train station scene where Bogart is waiting in pouring rain for Ingrid Bergman to get out before the Germans arrive. I phoned my Dad to ask if he remembered the weather that day, and he assured me it had been quite sunny. He remembered because dry fields meant the German army was rolling faster than it would have otherwise. My mother confirmed this many years later, when she described in detail the day that they left, how hot it was in the Place de la Gare, how they had to fetch water for my fainting grandmother, and how their train suffered bomb attacks on its way south.

The family coordinated to meet in Toulouse outside the area of direct German rule. Safe for a time, the family now had to figure out how to leave France. They did not feel they could hide somehow, as did Mrs. Hoffmann in 1943. In July, the family received a telegram from their close Menshevik friends, Wolik and Emma Woytinsky, who had escaped Berlin in 1933 for Switzerland, and had been living in Washington since 1935. Dated 6 July 1940, the telegram said, “Whole family authorized visit United States. Contact American Consul Marseilles. See you soon here. Emma.” The family got the visas and headed for Lisbon to reach America.

Many things require explanation here. First, and most significantly for the family’s survival, how were these visas granted and distributed? Getting into the US was notoriously difficult, but my family got emergency US entrance visas because of the American labor movement. In the summer of 1940 several labor leaders asked the Roosevelt Administration to grant visas to European trade union leaders trapped in France. The archives contain a letter addressed to Cordell Hull, secretary of state, dated 2 July 1940, on the stationary of the American Federation of Labor and signed by William Green, as president of the American Federation of Labor and David Dubinsky, president of the International Ladies Garment Workers Union. The letter notes the dangers posed both by the Nazi Occupation of France and by the Soviet seizure of Lithuania, which together have placed in jeopardy the lives of a great number of men and women prominent in the democratic and labor movements in Europe. Unless these men and women find immediate temporary haven in the United States, they are in danger of being imprisoned, placed in concentration camps or shot, whether it be the Gestapo or the GPU (the Soviet Secret Police). Their loss would be irreparable for the civilized world.

The preparatory lobbying in the US behind this letter came from the Jewish Labor Committee (JLC). This group, the subject of a new study by Cather-
ine Collomp, formed in 1934 in direct response to the collapse of Weimar.\textsuperscript{28} Nazi destruction of the labor movement in Germany posed a threat to the larger movement for American leaders. Many of them were Jewish, themselves refugees from the anti-Semitism of Tsarist Russia and nourished in the Socialist culture of Eastern Europe. They found the combination of anti-Semitism and anti-labor doubly toxic. In this way, they broke out of the traditional “isolationism” attributed to American labor, which had generally dismissed European issues and debates as no concern to the US. Now they were among the first American groups to sound an alarm.

We can see the logic of the labor movement’s argumentation, but we have to wonder why it worked. After all, Breckinridge Long, the head of the State Department’s visa granting office, is notorious in the scholarly literature for his anti-Semitism and his opposition to giving visas to Jews and to leftists in general. His writings\textsuperscript{29} make clear this prejudice, which extended to all peoples of Eastern and Southern Europe, a perspective sadly rather common for Americans of his background at that time.

The role of American labor activists in challenging the recalcitrance of Long and the State Department has not gotten the attention it deserves. Indeed, when I visited the Holocaust Museum in Washington soon after its opening in 1993, I found a lack of interest in this aspect of the history. The museum did have a side exhibit on the better-known story of Varian Fry and the Emergency Rescue Committee that he led in Marseilles. Fry’s activities are famous because of the cultural importance of the people his group saved: among them Hannah Arend, Marc Chagall, Max Ernst, Arthur Koeslter, Wanda Landowska, Claude Levi Strauss, Jacques Lipchitz, Alma Werfel, Golo and Heinrich Mann, and Max Ophuls.\textsuperscript{30}

But the museum contained nothing about the labor movement’s general role in rescuing Jews, and when I talked to museum staff about its importance in my family’s story, my words did not seem to resonate. Fortunately, my cousin Helen Garvy had in her closet her father’s files and she found there the 1940 visa, as well as the telegram from Emma Woytinsky. We mailed a copy of the visa to the museum.

From Washington, I went to the Tamiment Library and Robert F. Wagner Labor Archives at New York University. They reported having had tense relationships with the Holocaust Museum, precisely on the point of how much attention to pay to the labor story. The Holocaust Museum stressed the overall refusal of the State Department to issue visas to Jews, without, in the view of the Labor Archive staff, acknowledging the role of US labor. Who was right? Well, both. Breckinridge Long and US State Department certainly did not do remotely enough to allow people in danger to come to the US, under-utilizing even the scarce number of legal visas allowed by law. At the same time, there were exceptions to this narrative—not only the Fry network, but the labor group as well. The historiography has neglected the activism of American labor in drawing attention to the dangers of Hitler and Stalin and in rescuing
politically vulnerable people, among them many Jews. Collomp’s recent book helps correct the balance.

My family got emergency entrance visas. This raises more questions. Why did the FDR Administration respond to the appeal of the American labor movement, and why did the Consulate in Marseilles issue them? On the authorization of the visas, I would assign an important role to presidential politics and the election of November 1940. Roosevelt needed labor support to carry New York, among other states. David Dubinsky was the well-known leader of the International Ladies Garment Workers’ Union, an important institution in New York. The ILGWU had many Jewish members, but the key ingredient in this request was its centrality in the labor movement. FDR needed their support, moreover, not only for the elections of 1940 but also for his effort to counter the isolationism still so powerful in Congress and so popular among the American public. Backing from internationalist labor had come to matter even more with the fall of France. Collomp suggests that France’s collapse had an immediate impact on Secretary of State Cordell Hull and Assistant Secretary Long, enough so that they responded more sympathetically to the JLC’s interventions than they would have otherwise. How much FDR may have coaxed them, given his electoral and foreign policy concerns, we do not know definitively.

Could FDR have done more, as some argue? The Roosevelt Administration has come under severe criticism for not doing more to save Jews and other people in danger. Yet, FDR faced the challenge of strong isolationism before the war. The constraints on him were acute, as American fear of entanglement in another war were quite strong. Southern conservatives in the Democratic Party were more internationalist than the Northern Republicans and indeed than many Northern Democrats. They were thus vital to FDR’s policy of engaging the US in helping countries resist the Nazis and the Japanese, but FDR continued to feel isolationist pressure, even after Pearl Harbor. Among the victims were European Jews. To ensure some internationalist support, FDR avoided framing the combat with the Nazis in terms of saving Jews. Even the small number of visas allowed provoked hostility, and the State Department’s visa office had Congressional backing for its restrictive policy. African Americans also paid a great price for this difficult politics of internationalism. The cost of Southern support for the New Deal was that African Americans were excluded from many of its social programs, as they were from veterans programs after the war. Nothing could menace white control of the South. The Supreme Court approved the internment of Japanese Americans in the West, a decision it ultimately reversed after finding the government withheld evidence that no threat existed.

Faced with this kind of public hostility and the strain of prejudice in the US Foreign Service, it is even more surprising that the administration granted and processed these emergency entrance visas. We know enough about organizational resistance to realize formal approval does not guarantee processing,
even if the command comes from the top. We know individuals make a difference. Some consuls are famous for having saved Jews: Aristides de Souza Mendes of Portugal, who issued visas in Bordeaux against his Salazar government’s orders at the cost of his career, and Chiune Sugihara from Japan who did likewise in the Baltics. My family’s hero was Hiram Bingham IV, assistant consul general. His boss, the US consul in Marseilles, was of the Breckenridge Long variety: quite unsympathetic to the refugees as Jews, leftists, and intellectuals, he followed the official State Department line that the US was not at war and should not upset the Vichy French government by helping people escape against its wishes. Bingham, by sharp contrast, was sympathetic, and early on he supported Fry and the various other organizations operating in Marseilles to help people get out. He signed many visas. Various memoirs and books attest to his generosity, even assisting refugees find documents—a driver’s license, a library card, something—to provide a paper that could fill a bureaucratic requirement. Without Bingham, the Jewish Labor Committee and the Varian Fry group’s success in the US could not have occurred. By September 1940 the window was closing, as Long ordered the consuls to tighten up again. The State Department punished Bingham for his good deeds; in 1941, he was transferred to Portugal, then to Argentina, and eventually pushed out of the Foreign Service altogether.35

The actual visa issued to my uncle George shows Bingham’s signature very clearly. It shows the various fees they had to pay. How could they afford these fees, as well as train fares, hotels, and meals? I think the money came from the various agencies helping them, such as the JDC (Jewish Distribution Committee) also known as the JOINT (Jewish Joint Distribution Committee).36 Though they were being helped as labor leaders, Jewish refugee agencies played a big role in their physical care.

Even with visas in hand, the Bronstein-Garvy family still had to get to Lisbon, a journey which could have its perils. In early September 1940 they left Marseilles and travelled to the French town of Cerbère at the Spanish border. There the border guard objected to the passage of my uncle George because he was of army age and had no discharge papers. According to family legend, my grandmother declaimed loudly, “if he does not pass, I will not pass and I will stay here all day.” The guard let them all go. Why didn’t the guard have them arrested, or just say no? Many people were blocked, famously Walter Benjamin, who committed suicide. Many people, including some of my parents’ closest friends, had to climb over the mountains, including a pregnant woman (like my mother) traveling with her mother, who was not in great health (like my grandmother). Refugees could find route information and guides in Banyuls-sur-Mer, which had a Socialist mayor and a resident named Dina Vierny, the companion and model of artist Aristide Maillot, and daughter of a Russian Socialist Jewish émigré, who knew and helped the Menshevik group.

Once across the French border, they traveled directly to Lisbon. The Franco regime allowed refugees to pass provided they did not stop. Another
contingency decision: why did Franco, so staunchly anti-leftist, do this? There seems to be no scholarly consensus on this, with opinions ranging from some sympathy he is alleged to have had toward Jews, to a distance he sought to keep from Hitler’s grand schemes, to preoccupation with rebuilding Spain after the destructive civil war, to having some cautious instincts. My parents recall seeing much destruction from the train and during their stop in Madrid on the way to Lisbon. They waited a few weeks for the boat, played bridge, and arrived in the US in early October. Agents from the JOINT greeted them, found them places to live, and a bit of money for subsistence. My older brother was born in February in a charity ward of a New York hospital. My Dad got a job as a chemist, and my mother knit sweaters to earn money.

A large part of the maternal family escaped, but a vital and close family member did not. Boris Fichman, my grandmother’s brother, lived in Nice, surely not far from the Hoffmanns. My mother’s favorite relative, he had taken care of her and her older brother, George, when they were children back in Russia, and then later in Berlin and Paris, the family hero helping them in emergencies throughout.

What decisions led to the sharp divergence in the fate of my Grandma Sophia and her brother Boris? When the Bronstein-Garvys went to Toulouse from Paris, Boris did not go with them. He and his wife Olga ended up in Nice. Before that we do know they were in the small town of Usset in central France, because my uncle George notes in some writing that he stopped by to see them after leaving the French army on his way to Toulouse. Why did they not stay in this small town, or return to it, as the Hoffmanns did to Lamalou, we do not know. This was the last time any family member saw them.

When Alois Brunner and the SS arrived in Nice in September 1943, they arrested Boris and Olga quite soon. They shipped the couple to Drancy and then on 7 October 1943 on Convoy 60 from Drancy to Auschwitz, where Boris and Olga were killed right away. The family in New York knew the general details soon after the war as news of the camps circulated widely. It was while visiting the Holocaust Museum in 1993, I discovered the Serge Klarsfeld book that published the names of the people on each of the convoys that left Drancy. When I found Boris and Olga’s names on the list, I was stunned. When my mother saw the list, she became agitated, though she had known the facts for fifty years. “Why had we not persuaded them to leave? Could we have done more?” Were they afraid of being a burden on the family? “We are tired of running,” said the last postcard they sent from France.

From Mary Felstiner’s biography of Charlotte Salomon, I learned that at Drancy, they took whatever money you had, and gave you a receipt; some of these exist, known as the Carnets de Drancy. Working through them while sitting at a desk at the Musée de la vie juive in Paris, suddenly there it was: “Received from Boris Fichman, at 1 rue Balégrier, Cannes, 13, 440 francs, the 20th of September 1943.” Again, I was stunned that such a document existed. Almost all the other receipts I saw were for modest sums like 25, 50, 100, or
200 francs. In current purchasing power terms, the Fichman amount was $10,000 or more. I tried to imagine the situation. They knew they were in trouble. It seems he had tried to go to Switzerland and had been turned back. Now he took what he had, hoping what? That he could bribe their way out? Whatever he thought, they were killed three days after leaving Drancy.

Over sixty years later, in the summer of 2001, I found myself in Cracow, Poland, not far from Auschwitz. I had gone there with the La Jolla Symphony and Chorus, with whom I sang bass. I had never wanted to visit a concentration camp. As the choir was visiting Cracow and offering a side trip tour to the camp, I decided to do it, hoping for safety in the company of my fellow choristers. At Birkenau, where the Fichman’s were most likely killed, I pulled out Xerox copies of the Kaddish and asked my friends if they would recite it with me. I am not a religious Jew. I knew Dadia Buka was not. I had not read the Kaddish, the traditional prayer of mourning, at the funerals of my parents, my brother, nor my first wife. But Buka was killed for being a Jew. I wanted to “close the circle” somehow, the link to my mother, uncle, and grandparents. He was the reason I was there. I asked a choir member whom I knew sang often as a Cantor if he would lead us. “What are we doing?” I heard one of the group ask; very few of the group members were Jewish. I explained. We chanted the words. I found it very meaningful to remember him and Teutia Olya in this way. One of our group was gay and in a wheelchair. I asked if I could push him along at the museum. He understood immediately, saying, “it would have been both of us.”

A few years ago, the French Government announced a plan of restitution for those who had suffered economic damage as the result of Vichy collaboration with the Nazis. I decided to apply as an heir of my great uncle Boris Fichman, for the funds taken from him at Drancy. It was not for the money per se, which, though large among the Drancy victims, was not a big sum by today’s standards and tiny compared to the property and art seized. I wanted in writing the equivalent of a statement of wrongdoing by the French state. I sought not to condemn France, but some formalized acknowledgment of culpability. So I filed a request to reclaim the 13,000 francs taken from Great Uncle Boris. The process wound along, and then I was informed there would be a hearing. Fortunately, Patrice Higonnet, my close friend, former colleague, expert on Vichy who co-taught with Hoffmann, was in Paris at that time and went to represent me. Having ascertained my relation to Boris, and that my family and I had suffered in some way, the Commission awarded some recompenses to me, my cousin Helen, and the two daughters of my deceased brother. I had the letter sent me, and I have used the small sum to sustain this research on our family.

To my knowledge Stanley Hoffmann and his mother did not use any of these processes of recompense. He notes that they lost the contents of the apartment in Neuilly. Other material grounds for a claim might have belonged to his mother’s brothers.
Comparisons: Choices and Structures

Hoffmann appreciated well the gap between generalization for large numbers and accounting for individuals and specific choices. In this comparison of the family narratives in 1940, both elements of individual contingency and structural enabling emerge. On the individual side we note: Mme Hoffmann's decision to leave Paris in June 1940, and that of my family at the same moment; Mme Hoffmann's decision to leave Nice in 1943, when Great Uncle Boris did not; Vice Consul Bingham's willingness to sign visas in Marseilles of 1940, when most of his counterparts did not; the schoolteacher in Nice who forged some papers for the Hoffmanns to take on the train; Hoffmann's friend in Nice being rounded up, but not him; various people in Nice and Lamalou-les-Bains who protected the Hoffmanns; the border guard at Port Bou who let my family through without quite the right papers. Survivors have better luck, pure and simple, a theme familiar to readers of such narratives. At a certain point, there is no grand structure, but simple good fortune.

At the same time the stories show some themes about support that go beyond the individual and accident. The Socialist labor international network certainly aided my family. The German, French, and American labor movements accepted the Mensheviks as a vital element, worth protecting, given some work and status. Internally, the Mensheviks banded together, helping each other as refugees, whatever their political quarrels. Grandpa Peter needed an eye operation he could not afford and the group raised money for it. They had cultural capital, so education sustained them as refugees, with each flight.

These experiences shaped them intellectually and culturally. My parents were older than Hoffmann, not so influenced by France, though admiring of its schooling and culture, and they shared his admiration for American things; they enjoyed America's social openness especially, welcoming refugees, an ease of social acceptance they did not find in Europe.

For Hoffmann we see no formal structural counterpart to the labor network. We can nonetheless infer something from the French educational system and the culture it promoted among its members. In sharply divided France, the state school was notably on the Republican side, advocating its values. Teachers certainly absorbed French attitudes toward métèques, juifs, foreigners, but they also absorbed the egalitarian ideals of the Revolution, the carrière ouverte aux talents, to become French by mastering its culture. These individuals were poised to respond positively to Hoffmann's individual brilliance.

It is not fully clear how his peers or teachers treated the foreign, Jewish Hoffmann. “When I lived in France, except for my Vichyite or Fascist classmates of the early 1940s, and my lycée classmates of Neuilly in the late 1930s ... I had always felt treated as French, particularly by my teachers....” His Jewish mother was anticlerical, and Hoffmann himself did not identify much with this part of his background. At the same time, with his uncles fleeing Vienna and then leaving France he knew he had a Jewish background. They
both knew their vulnerability and certainly made moves to protect themselves. Some teachers drew upon their culture, training, and personalities by responding to him with warmth and generosity, forging papers, or comforting him, regardless of their private views, which he thought were in some cases somewhat anti-Semitic. They covered for this bright pupil. This sustained him through Vichy, and allowed him to rise afterward, though not into the grands corps, which he seemed to have wanted, but into the highest reaches of the intellectual establishment.

Most striking to me is how this experience may have shaped Hoffmann's intellectual sensibilities, his epistemology, and his aversion to reductionism and to any grand theoretical explanatory apparatus. Hoffmann writes with great eloquence of his attachment to France. The attachment is both abstract and personal: abstract to the ideas, but borne through the individual carriers who conveyed these ideas and helped him. At the same time, Hoffmann's love of France allowed him to accept that a place he admired could do bad things, that countries, like people, were complex. In watching “Un village français,” the French TV series (2009–16) on the German Occupation years, I wondered what Hoffmann might have thought of it. Hateful fascists on the Vichy side mingle with sadistic German counterparts; collaborators, who also help the Resistance; anti-Semites who help Jews; warm-hearted Jews and mean ones; friendly German occupiers and vicious ones; sympathetic and courageous French, and selfish ones; bigoted Vichy officials and generous ones; and often people whose behavior oscillates, as they struggle to manage difficult and stressful situations. Hoffmann excelled at observing these complexities, and at teaching, lecturing, and commenting about them.

Hoffmann's experiences shaped his values, national attachments, and his intellectual style. His writings on these years show a depth of appreciation for contradictions, sympathy for the positions people confront, and the difficulty of making simple judgments. There is no apologia here. Hoffmann notes the bald evil: the Nazis, the camps, the brutality of the SS, and la Milice. But he avoids blanket judgments of France and the French. Defeated, occupied, internally divided, individuals faced many difficult choices. Hoffman's review of the Sorrow and Pity is particularly instructive, as he writes with the perspective of how France today understands what happened, and how non-French see it. He compares the moral complexities of the Vichy experience to how Americans might or should reflect on America's behaviors during the war in Vietnam, (the review is from 1973.) He admires Ophuls as correcting some myths about the Resistance of a unified bloc of heroic opposition, a myth encouraged by Gaullists, Resistance heroes, and Communists. Instead, France had a raging civil war from the 1930s to the 1940s, as some forces saw the Occupation as payback and resentment for events in the Third Republic (Dreyfus, the Popular Front) and others saw it as fantasy of purification, some who saw the promise of Revolution and others who wanted national defense against a hated invader. He admires Ophuls for bursting the bubble of the unitary resis-
tance, but worries about omissions, which leave out other truths about the complexity of response. Hoffmann notes that Ophuls left France in 1941 with his parents, his father Max a famous film maker, and returned in 1950. Thus he notes an overlap with his own story and an omission: Hoffmann lived in France through the years of collapse and the Occupation while Ophuls had not, and thus did not intensely experience the Occupation and final release as Hoffmann did. The last two pages of Hoffmann’s review essay convey a great deal about his emotions about France: the warmth, the attachment, the bonding, and the human sympathy.

So evocative are Hoffmann’s words, that Jean-Pierre Azéma concludes one of his noted books on the Vichy period with a citation from it:

“In my own memory, the professor, now seventy three years of age [in 1973] and as energetic as ever, who taught me French history, gave me hope in the blackest days, dried my tears when my best friend and his mother were deported, made false papers for my mother and myself so that we could escape from a city infested by the Gestapo, where the complicity of friends and neighbors could no longer provide sufficient protection—that man obliterates all the bad moments, the humiliations and the terrors. He and his gentle wife were not heroes of the Resistance, but if there exists such a thing as the average Frenchman, it is that man who represented his people....”

In his review of the now classic book by Michael Marrus and Robert Paxton, Hoffmann writes,

“To be sure, there was little collective heroism in the France of 1940–41. But those who have never suffered a national catastrophe, including partial occupation, hunger, cold, the enforced absence of more than a million countrymen as prisoners of war, the collapse of a regime and of national pride—all of which followed the miserable era of the 30’s and the terribly costly and debilitating victory of 1918—are in no position to cast stones.”

These comments are surely aimed at American audiences, pushing back against criticism of France’s collapse of 1940, as well as Gaullist intransigence in the 1960s. Hoffmann’s attachment to France is evident. Yet he did not stay there. He writes about the appeal of the US, the openness of its universities and its intellectual culture especially. We can speculate about status, the prestige of Harvard, and insecurities about France and Europe as influencing his decision to lead a bi-national existence. The impact of the 30s and 40s on the character of mind interests me more: the analytic rigors of the French educational system with its philosophical traditions on top of a genetically endowed brilliance. That we know. To that we might add the mental-emotional wrestling Hoffmann did when observing contradictory behavior. He saw good people doing questionable things and bad people doing decent things. He saw the warmhearted teacher with disagreeable political views, neighbors who protected him regardless of what they thought, the terrible difficulty of knowing
what was the right course upon defeat, faced with so much political deadlock and occupation. The appeal of de Gaulle to a fatherless boy cutting through the contradictions seems almost too embarrassingly transparent to point out. These sorts of conflicting experiences do shape a mind, the character of thought. Hoffmann disliked simple answers, one dimensional explanations, and mechanical reductionism. Politics was about making choices, and people have options. He was a fox in his stunning ability to dissect complexity yet be clear, without losing a hedgehog's conviction that there were bad things, people, and behaviors. So long as he made it to a free society, Stanley Hoffmann's talents would likely have stood out wherever and whenever his experiences had been. Still, his unique trajectory surely crafted the distinctive intellectual temperament we so much admired.

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**Notes**

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8. Thanks to Edward Steinfeld, Director of the Watson Institute at Brown University, who lent me the notebook of class notes he kept from the spring term of 1986.
9. See his essay, “A Retrospective on World Politics” and “To Be or Not To Be French,” in *Ideas and Ideals: Essays in Honor of Stanley Hoffmann*, ed. Linda Miller and Michael
Joseph Smith (Boulder: Westview Press, 1993). Some of Hoffmann’s movie reviews can be found in La Caravalle, a journal he co-founded with the Berrys, as the publication of “La Colonie française de la Nouvelle Angleterre.” In its twenty-one issues, Hoffmann and many others wrote essays on France, foreign policy, travel, food, and other expressions of cultural life. Hoffmann reviewed Mon oncle, Celui qui doit mourir, Jules et Jim, and Marienbadinage, among other films.


12. Hoffmann, “To Be or Not To Be France,” in Ideas and Ideals, ed. Miller and Smith, 25.


14. Yuri, Russian for George, had been Grandfather Garvy’s Party name; Sylvia had been Grandmother Garvy’s party name.


17. Peter A. Garvy, Vospominania Social-democrata (New York: Private, 1945).


19. Le Populaire, 10 August 1934.

20. Liebich, From the Other Shore, 253.

21. Ibid.

22. Ibid., 254.


24. In Hoffmann’s class I read for the first time Marc Bloch, Strange Defeat, which he cites as one of the best things written on the collapse (New York: Norton, 1946, 1968).

25. Woytinsky may be known to some as the author of the famous WTB plan in 1932, a demand stimulus plan for Germany, and also author of the US social security law in 1936. Peter Gourevitch, Politics in Hard Times: Comparative Responses to International Economic Crises (Ithaca: Cornell University Press, 1986).


28. Ibid. This valuable study also publishes the lists, found in the JLC archives, of people saved by these visas, on which I found the names of my family and some of their Socialist friends. See Annexes II, Liste des réfugiés russes, 274–277.


30. It so happened that the person who had become my father in law, Albert Hirschman, was featured in this exhibit, because he had worked with Fry in Marseille, under the pseudonym Albert Hermand. Hirschman had actually known my family a bit through links in Berlin where as a young man he had joined the same Social Democratic youth group as my parents and Uncle George, in which many of the Menshevik children participated. On Hirschman’s work with Fry, see Jeremy Adelman, Wordly Philosopher: The Odyssey of Albert O. Hirschman (Princeton:


33. Katznelson, *Fear Itself*.


35. For the rest of his life, he remained silent about these activities as if almost embarrassed, or endangered, that he had violated the rules. After he died in 1988, Bingham was recognized by Yad Vashem for his work and the US issued a postage stamp in his honor.


37. Thanks to Professor Pamela Radcliff at the University of California at San Diego for discussions and readings on these issues.


39. Mary Felstiner, *To Paint her Life: Charlotte Salomon in the Nazi Era* (Berkeley: University of California Press, 1997). Salomon and the Fichman’s were in the same Wagon on Convoy 60. Klarsfeld’s father was on Convoy 61.

40. Hoffmann, in *Ideas and Ideals*, ed. Miller and Smith, 43.
