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LAST REVISION:
December 31, 2018

Education

Ph.D., Economics, Yale University, New Haven, Connecticut, May 1986

M.A., International Relations, Yale University, June 1979.

University of Washington, Seattle, Washington.

B.A., Chinese Language and Literature, June 1975.

Employment

School of Global Policy and Strategy (GPS)

[Formerly: Graduate School of International Relations & Pacific Studies (IR/PS)]

University of California, San Diego

September 1988-present

So Kwanlok Professor of Chinese and International Affairs (since 1998)

Courses Taught: Economy of China; Doing Business in China; Globalization, the World System and the Pacific; Economic Development; East Asian Economies.

Associate Dean (2001-2003; 2006-2008)

Previously Associate, Assistant Professor.

Center for Chinese Studies and Department of Economics

University of Michigan

Visiting Scholar and Visiting Assistant Professor

January 1987-May 1988

Department of Economics

University of Oregon

Assistant Professor

January 1984-June 1987

World Bank, Washington, D.C.

Researcher

June-August 1980, 1983

Publications

Books

The Chinese Economy: Adaptation and Growth. Cambridge, Massachusetts: MIT Press, 2018. Updated, revised and expanded edition of *The Chinese Economy: Transitions and Growth*, below.

Co-editor (with Kellee Tsai) and Contributor, *State Capitalism, Institutional Adaptation and the Chinese Miracle*. New York: Cambridge University Press, 2015.

Editor and author of Introduction and Prefaces: *Wu Jinglian: Voice of Reform in China*. Cambridge, Massachusetts: MIT Press, 2013.

The Chinese Economy: Transitions and Growth. Cambridge, Massachusetts: MIT Press, 2007. [Chinese translation 2011; Korean translation 2010].

Co-editor (with Dali Yang) and Contributor, *Holding China Together: Diversity and National Integration in the Post-Deng Era*. New York: Cambridge University Press, 2004.

Editor and Contributor, *The China Circle: Economics and Technology in the PRC, Taiwan, and Hong Kong*. Washington, D.C.: The Brookings Institution, 1997. [Chinese translation, Beijing: Xinhua, 1999.]

Co-editor (with John McMillan) and Contributor, *Reforming Asian Socialism: The Growth of Market Institutions*, Ann Arbor: University of Michigan Press, 1996.

Growing Out of the Plan: Chinese Economic Reform, 1978-1993, New York: Cambridge University Press. 1995.

Co-editor (with Deborah Davis, Elizabeth Perry, and Richard Kraus) and Contributor, *Urban Spaces in Contemporary China*, New York: Cambridge University Press. 1995.

Journal Articles

“The Current Wave of State Enterprise Reform in China: A Preliminary Appraisal,” *Asian Economic Policy Review* 12 (2017), 282-298. doi: 10.1111/aep.12185

“Is China Socialist?” *Journal of Economic Perspectives*. 31: 1 (Winter 2017). Pages 1-23.

“A Dynamic China Model: The Co-Evolution of Economics and Politics in China,” *Journal of Contemporary China*. Volume 26, Issue 103 (2017). Pp. 18-34. With Ling Chen.

“An Institutionalized Policy-making Mechanism: China’s Return to Techno-Industrial Policy,” *Research Policy* 45 (2016), pp. 2138-2152. DOI information: 10.1016/j.respol.2016.09.014. With Ling Chen.

“Inside and Outside: The Modernized Hierarchy that Runs China.” *Journal of Comparative Economics*. 44:2 (2016), pp. 404-415.

“Reform Retreat and Renewal: How Economic Policy Fits into the Political System,” *Issues and Studies*. 51:1 (March 2015). Pp. 23-54.

“China’s Economy: Complacency, Crisis & the Challenge of Reform,” *Daedalus* 143 (2) (Spring 2014).

“The Return of Planning in China,” *Modern China*. November 2013. 39: 640-652.

“New Administration, New Challenges: The Design of China’s Next Round of Economic Reforms [in Chinese]” *Bijiao [Beijing]* 64 (2013:1), Pp. 1-14. [“新政府，新挑战：中国下一轮经济改革的路径设计，” 比较]

“China's Economic Policy Today: The New State Activism,” *Eurasian Geography and Economics*, Volume 52, Number 3, (May-June 2011). Pp. 313-329.

“China’s Industrial Capitalism: Is there a distinctive system? Can it be a model for others?” *Journal of Contemporary China*, Vol. 19, No 65, June 2010. Also included in Yu-Shan Wu, S. Philip Hsu, and Suisheng Zhao, eds., *In Search of China’s Development Model: Beyond the Beijing Consensus*. London: Routledge, 2011.

“China’s Economic Think Tanks: Their Changing Role in the 1990s,” *The China Quarterly*, No. 171 (September 2002). Pp. 625-35.

“Causes et conséquences des disparités dans la croissance économique des provinces chinoises [Causes and Consequences of Differential Economic Growth of Chinese Provinces]” *Revue d’Économie du Développement* 1999, No 1-2 (Juin), pp. 33-70. English version reprinted in Mary-Françoise Renard, ed., *China and Its Regions: Economic Growth and Reform in Chinese Provinces*. Cheltenham: Edward Elgar, 2002, pp. 57-86.

“China’s Emergence and Future as a Trading Nation,” *Brookings Papers on Economic Activity*. 1996:2, pp. 273-344.

“China’s Macroeconomy in Transition,” *The China Quarterly* No. 144 (December 1995), pp. 1083-1104.

"China's Evolving Managerial Labor Market," *Journal of Political Economy*, 103:4 (August 1995). Pp. 873-92. With Theodore Groves, Yongmiao Hong, and John McMillan.

"What is Distinctive about China's Economic Transition? State Enterprise Reform and Overall System Transformation," *Journal of Comparative Economics*, 18:3 (1994), pp. 470-90.

"Chinese Institutional Innovation and Privatization from Below," *American Economic Review, Papers and Proceedings* 84:2 (May), pp. 266-270.

"Autonomy and Incentives in Chinese State Enterprises," *Quarterly Journal of Economics*, 109:1 (February 1994). Pp. 183-209. With Theodore Groves, Yongmiao Hong, and John McMillan.

"Deng Xiaoping: The Economist," *China Quarterly* 135 (September 1993), pp. 491-514. Reprinted in David Shambaugh, ed., *Deng Xiaoping: Portrait of a Chinese Statesman*, New York: Oxford University Press, 1995.

"How to Reform a Planned Economy: Lessons from China." *Oxford Review of Economic Policy*, 8:1 (Spring 1992). With John McMillan

"Implications of the State Monopoly over Industry and Its Relaxation," *Modern China*, 18:1 (January 1992). Pp. 14-41.

"Why Has Economic Reform Led to Inflation?" *American Economic Review, Papers and Proceedings*, 81:2 (May 1991), PP. 207-11.

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"Economic Reform and the Chinese Political Crisis of 1989," *Journal of Asian Economics*, 1: 2 (1990).

"On the Theory of Household Saving in the Presence of Rationing," *Journal of Comparative Economics*, 14: 2 (June 1990). With Christopher Ellis.

"The Third Front: Defense Industrialization in the Chinese Interior." *China Quarterly* 115 (Autumn 1988), pp. 351-86. Chinese translation: "三线建设：中国内陆的国防工业，" *冷战国际史研究*[*Cold War International History Studies*] No. 11 (2012), pp. 268-299.

"Macroeconomic Policy and Response in the Chinese Economy: The Impact of the Reform Process." *Journal of Comparative Economics*, XI:3 (September 1987).

Book Chapters

“The Financialization of China’s State-owned Enterprises,” forthcoming in Yongnian Zheng and Sarah Y Tong, eds., *China’s Economic Modernization and Structural Changes: Essays in Honor of John Wong*. Singapore: World Scientific, 2019.

“Xi Jinping’s Economic Policy and Chinese Urbanization,” forthcoming in Youqin Huang, ed., *Chinese Cities in the 21st Century*. London: Palgrave Macmillan, 2019.

“China’s Domestic Economy: from ‘Enlivening’ to ‘Steerage’,” forthcoming in Jacques deLisle and Avery Goldstein, eds., *Forty Years of Reforming China [tentative title]*, Washington, D.C.: Brookings Institution Press, 2019.

“Grand Steerage: The Temptation of the Plan,” forthcoming in Thomas Fingar and Jean Oi, eds., *China: Choices and Challenges*. Stanford: Stanford University Press, 2019.

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‘Economic Reform and Structural Change: The Chinese Experience,’ Chapter 23 of Celestin Monga and Justin Lin, eds., *The Oxford Handbook of Structural Transformation*. New York: Oxford University Press, 2019.

“State Enterprise Reform Today,” in Ross Garnaut, Ligang Song and Cai Fang, eds., *China’s Forty Years of Reform and Development, 1978-2018*. Canberra: Australian National University Press, 2018. Pp. 375-391. Available for download at <https://press.anu.edu.au/publications/series/china-update-series/chinas-40-years-reform-and-development-1978-2018#pdf>

“The Challenges of Economic Growth and Reform,” in Robert Ross and Jo Inge Bekkevold, eds., *China in the Era of Xi Jinping*. Washington, D.C.: Georgetown University Press, 2016. Pp. 66-91.

“Economic Policy,” in David S. G. Goodman, ed., *Handbook of the Politics of China*. Oxford: Edward Elgar, 2016. Pp. 165-186.

“Economic Rebalancing,” Chapter 6 of Jacques deLisle and Avery Goldstein, eds., *China’s Challenges*. Philadelphia: University of Pennsylvania Press, 2015. Pp. 105-124.

“The Transformation of the State Sector: SASAC, the Market Economy and the New National Champions,” Chapter 3 of Naughton and Tsai, eds., *State Capitalism, Institutional Adaptation and the Chinese Miracle*. New York: Cambridge University Press, 2015.

“Introduction: State Capitalism and the Chinese Economic Miracle,” in Naughton and Tsai, eds., 2015. Co-authored with Kellee Tsai.

“China and the Two Crises: From 1997 to 2009,” in T. J. Pempel and Keichi Tsunekawa, eds., *Two Crises, Different Outcomes: East Asia and Global Finance*. Ithaca: Cornell University Press, 2015. Pp. 110-134.

“The Economic Relationship.” Dialogue with Yao Yang, in Nina Hachigian, ed., *Debating China: The US-China Relationship in Ten Conversations*. New York: Oxford University Press, 2014. Pp. 21-42.

“China’s Response to the Global Crisis, and the Lessons Learned,” in Dali L. Yang, ed., *The Global Recession and China’s Political Economy*. New York: Palgrave Macmillan, 2012. Pp. 15-32.

“The 1989 Watershed in China: How the Dynamics of Transition Changed,” in Nina Bandelj and Dorothy Solinger, eds., *Socialism Vanquished, Socialism Challenged: Eastern Europe and China (1989-2009)*. New York: Oxford University Press, 2012.

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“The Dynamics of China’s Reform-Era Economy,” in Brantly Womack, ed., *China’s Rise in Historical Perspective*. Boulder: Rowman & Littlefield, 2010. Pp. 129-147.

“Chinese Economic Growth: From Quantity to Quality?” in Joseph Fewsmith, ed., *China Today, China Tomorrow: Domestic Politics, Economy, and Society*. Boulder: Rowman & Littlefield, 2010.

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“A Political Economy of China’s Economic Transition,” Chapter 4 of Loren Brandt and Thomas Rawski, eds., *China’s Great Economic Transformation*. New York: Cambridge University Press, 2008. Pp. 91-135. [Chinese edition, 2009.]

“China’s Left Tilt: Pendulum Swing or Mid-course Correction?” in Cheng Li, ed., *China’s Changing Political Landscape: Prospects for Democracy*. Washington, DC: Brookings Institution Press, 2008. Pp. 142-58.

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“Holding China Together: Introduction,” in Barry Naughton and Dali Yang, eds., *Holding China Together: Diversity and National Integration in the Post-Deng Era*. New York: Cambridge University Press, 2004. Co-authored with Dali Yang.

“The Western Development Program,” in Barry Naughton and Dali Yang, eds., *Holding China Together: Diversity and National Integration in the Post-Deng Era*. New York: Cambridge University Press, 2004.

“How Much Can Regional Integration Do to Unify China’s Markets?” in Nicholas Hope, Dennis Yang, and Mu Yang Li, eds., *How Far Across the River? Chinese Policy Reform at the Millennium*. Stanford: Stanford University Press, 2003. Pp. 204-232.

“The Decline of a Japan-led Model of the East Asian Economy,” in T.J. Pempel, ed., *Remapping Asia: The Emergence of Asian Regional Connectedness*. Ithaca: Cornell University Press, 2004. Co-authored with Andrew MacIntyre.

“China’s Trade Regime Entering a New Century,” in Scott Rozelle and Daniel Sumner, eds., *Agricultural Trade and Policy in China: Issues, Analysis and Implications*. Burlington, VT: Ashgate, 2003, pp. 3-20.

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“Technology Development in the New Millennium: China in Search of a Workable Model,” in William Keller and Richard Samuels, eds., *Crisis and Innovation: Asian Technology After the Millennium*. New York: Cambridge University Press, 2002. Co-authored with Adam Segal. Pp. 160-186.

“The US-China Relationship after WTO,” in Ippei Yamazawa and Ken-ichi Imai, eds., *China Enters WTO: Pursuing symbiosis with the Global Economy*. Chiba: Institute of Developing Economies, 2001. Pp. 83-109.

“Changing Horses in Midstream? The Challenge of Explaining Changing Political Economy Regimes in China,” in Jaushieh Joseph Wu, ed., *China Rising: Implications of Economic and Military Growth in the PRC*. Taipei: Institute of International Relations, National Chengchi University, 2001. Pp. 37-65.

“The Chinese Economy, Fifty Years Into the Transition,” in Tyrene White, ed., *China Briefing 2000: The Continuing Transformation*. New York: The Asia Society, 2001. Pp. 49-70.

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“China: Domestic Restructuring and a New Role in Asia,” in T. J. Pempel, ed., *The Politics of Asian Economic Crisis*. Ithaca: Cornell University Press, 1999. Pp. 203-223.

“Financial Development and Macroeconomic Stability in China,” in Baizhu Chen, Kim Dietrich and Yi Feng, eds., *China’s Financial Market Reform: Problems, Progress and Perspective*, Boulder: Westview, 1999.

“China’s Transition in Economic Perspective,” in Merle Goldman, ed., *The Paradox of China’s Post-Mao Reforms*. Cambridge: Harvard University Press, 1999. Pp. 30-44.

“Between China and the World: Hong Kong’s Economy Before and After 1997,” in Gary Hamilton, ed., *Cosmopolitan Capitalists: Hong Kong and the Chinese Diaspora at the End of the 20th Century*. Seattle: University of Washington Press, 1999. Pp. 80-99.

“China’s Dual Trading Regimes: Implications for Growth and Reform” in J. Piggott and A. Woodland, eds., *International Trade Policy and the Pacific Rim*. London: Macmillan [for the International Economics Association], 1999. Pp. 30-55.

“The United States and China: Management of Economic Conflict,” in Robert Ross, ed., *After the Cold War: Domestic Factors and U.S.-China Relations*, Armonk, N.Y.: M.E. Sharpe, 1998. Pp. 148-183.

“Danwei: The Economic Foundations of a Unique Institution,” in Xiaobo Lú and Elizabeth Perry, eds., *Danwei: The Changing Chinese Workplace in Historical and Comparative Perspective*. Armonk, NY: M.E. Sharpe, 1997, pp. 169-94.

“Introduction: The Emergence of the China Circle,” “Economic Policy Reform in the PRC and Taiwan,” and “Conclusion: The Future of the China Circle,” in Barry Naughton, ed., *The China Circle: Economics and Technology in the PRC, Taiwan, and Hong Kong*. Washington, D.C.: The Brookings Institution, 1997.

“Distinctive Features of Economic Reform in China and Vietnam,” and [with John McMillan] “Elements of Economic Transition,” and “Reforming China’s State-Owned Firms,” in John McMillan and Barry Naughton, eds., *Reforming Asian Socialism: The Growth of Market Institutions*, Ann Arbor: University of Michigan Press, 1996.

"China's Economic Success: Effective Reform Policies or Unique Conditions?" in Kazimierz Poznanski, ed., *The Evolutionary Transition to Capitalism*. Boulder: Westview, 1995.

"Reforming a Planned Economy: Is China Unique?" in Chung H. Lee and Helmut Reisen, eds., *From Reform to Growth: China and Other Countries in Transition in Asia and Central and Eastern Europe*, Paris: OECD, 1994, pp. 49-73.

"Macroeconomic Obstacles to Reform in China: The Role of Fiscal and Monetary Policy" in Bih-Jaw Lin, ed., *The Aftermath of the 1989 Tiananmen Crisis for Mainland China*, Boulder, Colorado: Westview, 1992, pp. 231-55.

"Inflation: Patterns, Causes and Cures," in *China's Economic Dilemmas in the 1990s: The Problems of Reforms, Modernization, and Interdependence*. Study Papers submitted to the Joint Economic Committee, Congress of the United States. (Washington, D.C.: U.S. Government Printing Office, 1991.) Volume 1, pp. 135-59.

"The Pattern and Legacy of Economic Growth in the Mao Era," in J. Kallgren, K. Lieberthal, R. MacFarquhar and F. Wakeman, eds., *Perspectives on Modern China: Four Anniversaries*, Armonk, N.Y.: M.E. Sharpe, 1991).

"Hierarchy and the Bargaining Economy: Government and Enterprise in the Reform Process," D. M. Lampton and K. Lieberthal, eds., *Bureaucracy, Politics, and Decision Making in Post-Mao China*, Berkeley: University of California Press, 1991.

"The Current Macroeconomic Disturbances in China: Policy and Institutional Factors," D. Cassel and G. Heiduk, eds., *China's Contemporary Economic Reforms as a Development Strategy*, Baden-Baden: Nomos, 1990.

"Macroeconomic Management and System Reform in China," in Gordon White, ed., *The Chinese State in the Era of Economic Reform: The Road to Crisis*, London: Macmillan, 1991. Pp. 50-82.

"Industrial Policy During the Cultural Revolution: Military Preparation, Decentralization, and Leaps Forward," in W. Joseph, C. Wong and D. Zweig, eds., *New Perspectives on the Cultural Revolution*, Cambridge, Mass.: Harvard University Press, 1991.

"Finance and Planning Reforms in Industry," in *The Chinese Economy Looks to the Year 2000*, selected papers submitted to the Joint Economic Committee, Congress of the United States, Washington, D.C.: Government Printing Office, 1986.

"The Decline of Central Control Over Investment in Post-Mao China," M.D. Lampton, ed., *Policy Implementation in Post-Mao China*, Berkeley: University of California Press, 1987. Pp. 51-80.

"Sun Yefang: Toward a Reconstruction of Socialist Economics," T. Cheek and C. Hamrin, eds., *China's Establishment Intellectuals*, White Plains, N.Y.: Sharpe, 1986.

"False Starts and Second Wind: Financial Reforms in China's Industry," E. Perry and C. Wong, eds., *The Political Economy of Reform in Post-Mao China*, Cambridge, Mass.: Harvard University Press, 1985. Chinese translation: "Dui Zhongguo Gongye Bumen Jingji Gaige de Fansi," *Jingji Shehui Tizhi Bijiao [Comparative Economic and Social Systems]*, Beijing, 1987:2.

Short Pieces, Reviews, and Internet Publications

"The Impact of the Tiananmen Crisis on China's Economic Transition," in *China Perspectives*. (Hong Kong). 2009/2. Pp. 63-79.

"In China's Economy, the State's Hand Grows Heavier," *Current History*. September 2009.

"How to Handle an Overheated Economy: Memorandum to Wen Jiabao, Premier of China" *Foreign Policy*. November 1, 2004. Available at http://www.foreignpolicy.com/articles/2004/11/01/how_to_handle_an_overheated_economy

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"Selling Down the State Share: Contested Policy, New Rules," Issue 1, Part Two, Winter 2002.

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"Economic Policy after the 16th Party Congress," Issue 5, Winter 2003.

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"The State Asset Commission: A Powerful New Government Body," Issue 8, Fall 2003.

"An Economic Bubble? Chinese Policy Adapts to Rapidly Changing Conditions," Issue 9, Winter 2004.

"Financial Reconstruction: Methodical Policymaking Moves into the Spotlight," Issue 10, Spring 2004.

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"Changing the Rules of the Game: Macroeconomic Recontrol and the Struggle for Wealth and Power," Issue 12, Fall 2004.

"Economic Policy in 2004: Slipping behind the Curve?" Issue 13, Winter 2005.

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- “The New Common Economic Program: China’s Eleventh Five Year Plan and What It Means,” Issue 16, Fall 2005.
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- “China’s Economic Leadership After the 17th Party Congress,” Issue 23, Winter 2008.
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- “Loans, Firms, and Steel: Is the State Advancing at the Expense of the Private Sector?” Issue 30, Fall 2009.
- “The Turning Point: First Steps toward a Post-Crisis Economy,” Issue 31, Winter 2010.
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- “What Price Continuity? The 12th Five Year Plan and the Two Final Years of the Hu-Wen Administration,” Issue 34, Winter 2011.
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- “Macroeconomic Policy to the Forefront: The Changing of the Guard,” Issue 36, Winter 2012.
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- “Is There a “Xi Model” of Economic Reform?--Acceleration of Economic Reform since Fall 2014,” Issue 46, Winter 2015 (March 19).
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- “Supply-Side Structural Reform: Policy-makers Look For a Way Out,” Issue 49, Winter 2016 (March 1).
- “Two Trains Running: Supply-Side Reform, SOE Reform and the Authoritative Personage,” Issue 50, Spring 2016.
- “Supply-Side Structural Reform at Mid-year: Compliance, Initiative, and Unintended Consequences,” Issue 51, Summer 2016.
- “Xi Jinping’s Economic Policy in the Run-up to the 19th Party Congress: The Gift from Donald Trump,” Issue 52, Winter 2017.
- “The Regulatory Storm: A Surprising Turn in Financial Policy,” Issue 53, Summer 2017.
- “The General Secretary’s Extended Reach: Xi Jinping Combines Economics and Politics,” Issue 54, Fall 2017
- “Economic Policy in the Aftermath of the 19th Party Congress,” Issue 55, Winter 2018.
- “Xi’s System, Xi’s Men: After the March 2018 National People’s Congress,” Issue 56, Spring 2018.

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"The Foreign Policy Implications of China's Economic Development Strategy," in Thomas W. Robinson and David Shambaugh, eds., *Chinese Foreign Policy: Theory and Practice*, Oxford: Oxford University Press, 1994, pp. 47-69.

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"China's Economy" [Essay-review of six books on the Chinese Economy], in *Problems of Communism* 39 (March-April 1990), pp. 115-19.

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Review of Eduard Vermeer, *Economic Development in Provincial China: The Central Shaanxi since 1930*. Cambridge: Cambridge, 1988, in *The Journal of Economic History*, September 1989.

Review of Gene Tidrick and Chen Jiyuan, eds., *China's Industrial Reform*, New York: Oxford, 1987 in *Journal of Comparative Economics*, 14: 1 (March 1990).

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"Economic Reform in China: Report of the American Economists Study Team to the People's Republic of China (Summary of Findings)," (New York: National Committee on U.S.-China Relations, 1986.)

Professional Service

Member, University of California, Pacific Rim Research Program Executive Committee, 2005-2010.

Member, Humanities, Social Sciences & Business Studies Panel, Research Grants Council, Hong Kong, 1998-2003.

Chairman, Committee on Advanced Study in China, of the Committee on Scholarly Communication with China, 1994-1998

Member, Joint Committee on Chinese Studies of the American Council of Learned Societies and the Social Science Research Council, 1991-1994.

Associate Dean, Graduate School of International Relations and Pacific Studies, University of California, San Diego, 1992-1995, 2001-2003.

Chair, Chinese Studies Program. University of California, San Diego. 1998-2000.

Reviewer for Professional Journals (selected): *American Economic Review*, *Journal of Political Economy*, *Quarterly Journal of Economics*, *Journal of Economic Literature*, *Economic Development and Cultural Change*, *Journal of Comparative Economics*, *American Political Science Review*, *American Sociology Review*, *China Quarterly*, *Australian Journal of Chinese Affairs*.

Consulting

Consultant to the World Bank (1988, 1989, 1990, 1991, 1992, 1994, 1996, 2001, 2006, 2011); and the East-West Center [Technical Assistance for Asian Development Bank Project] (1993-94).

Prize

Growing Out of the Plan received the Masayoshi Ohira Memorial Prize for outstanding work furthering the Pacific Basin Community, April 1997.